

Complexity of computing Vapnik–Chervonenkis dimension and some generalized dimensions

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Abstract

In the PAC-learning model, the Vapnik–Chervonenkis (VC) dimension plays the key role to estimate the polynomial-sample learnability of a class of $\{0, 1\}$ -valued functions. For a class of $\{0, \dots, N\}$ -valued functions, the notion has been generalized in various ways. This paper investigates the complexity of computing VC-dimension and generalized dimensions: VC*-dimension, Ψ_* -dimension, and Ψ_G -dimension. For each dimension, we consider a decision problem that is, for a given matrix representing a class \mathcal{F} of functions and an integer K , to determine whether the dimension of \mathcal{F} is greater than K or not. We prove that (1) both the VC*-dimension and Ψ_G -dimension problems are polynomial-time reducible to the satisfiability problem of length J with $O(\log^2 J)$ variables, which include the original VC-dimension problem as a special case, (2) for every constant C , the satisfiability problem in conjunctive normal form with m clauses and $C \log^2 m$ variables is polynomial-time reducible to the VC-dimension problem, while (3) Ψ_* -dimension problem is NP-complete.

1. Introduction

The PAC learnability due to Valiant [12] is to estimate the feasibility of learning a concept ($\{0, 1\}$ -valued function) probably approximately correctly, from a reasonable amount of examples (polynomial-sample), within a reasonable amount of time (polynomial-time). It is well known that the Vapnik–Chervonenkis dimension (VC-dimension) which is a combinatorial parameter of a concept class plays the key role to determine whether the concept class is polynomial-sample learnable or not [3, 5, 8].

This paper settles complexity issues on VC-dimension and some generalized dimensions of a class over a finite learning domain. We remark that the complexity of computing each dimension is of independent interest from the polynomial-time learnability, since it is not directly related to the running time of learning algorithms. However, there are some works on this topic. Linial et al. [5] showed that the

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VC-dimension of a concept class over a finite learning domain can be computed in $n^{O(\log n)}$ time, where n is the size of a given matrix which represents the concept class. Nienhuys-Cheng and Polman [9] gave another $n^{O(\log n)}$ -time algorithm, although they have not analyzed its running time. On the other hand, Megiddo and Vishkin [6] defined two classes $\text{SAT}_{\log^2 n}$ and $\text{SAT}_{\log^2 n}^{\text{CNF}}$ which are between P and NP. $\text{SAT}_{\log^2 n}$ ($\text{SAT}_{\log^2 n}^{\text{CNF}}$) is the class of sets which are polynomial-time reducible to the satisfiability problem of a boolean formula of length J with $O(\log^2 J)$ variables (in conjunctive normal form, respectively). They showed that the problem of finding a minimum dominating set in a tournament is in $\text{SAT}_{\log^2 n}$ and $\text{SAT}_{\log^2 n}^{\text{CNF}}$ -hard. That means the problem is a kind of “complete” problem for the class of $n^{O(\log n)}$ time computable sets. Linial et al. [5] pointed out that the decision problem of computing VC-dimension may have some connection with that problem.

Along this line, we will give polynomial-time reductions between some generalized VC-dimension problems and the satisfiability problems of boolean formulae with restricted number of variables.

We consider two kinds of generalizations of the VC-dimension for the class of $\{0, \dots, N\}$ -valued functions. Recall that the VC-dimension of a class of $\{0, 1\}$ -valued functions is defined as the maximum cardinality of a set which is *shattered* by the class [3]. For a class of $\{0, \dots, N\}$ -valued functions, we first define *VC*-dimension* by naturally generalizing the notion of shattering. We show that the VC*-dimension problem is in $\text{SAT}_{\log^2 n}$, and $\text{SAT}_{\log^2 n}^{\text{CNF}}$ -hard. This result includes the original VC-dimension problem as a special case.

The second generalization is *Ψ -dimensions* due to the Ben-David et al. [2]. They unified various dimensions such as pseudo-dimension [4], graph dimension [7] and Natarajan dimension [7] into a general scheme by introducing a family Ψ of mappings which translate $\{0, \dots, N\}$ -valued functions into $\{0, 1\}$ -valued functions. They defined Ψ -dimension as the maximum VC-dimension under mappings in Ψ . Let Ψ_* be the set of all mappings from $\{0, \dots, N\}$ to $\{0, 1\}$, and let Ψ_G -dimension denote the graph dimension [7]. We show that Ψ_* -dimension problem is NP-complete, while the Ψ_G -dimension problem is in $\text{SAT}_{\log^2 n}$. These results suggest that the VC-dimension gives some interesting insights not only to algorithmic learning theory, but also to computational complexity theory.

2. Preliminaries

In this paper, \log denotes the logarithm to the base 2 without extra notice. For a matrix M , let M_{ij} denote the element on row i and column j of M , and the size of M is the number of elements in M . The length of a boolean formula α , denoted by $|\alpha|$, is the total number of variable occurrences in α . For a formula α and a truth assignment σ to the variables of α , let $\sigma(\alpha)$ denote the truth value of α evaluated under σ . We denote truth values by 0 and 1. For a boolean formula α and a proposition c , we denote $[\alpha; c] = \alpha$ if c is true, and $[\alpha; c] = \neg \alpha$ otherwise.

For any integers $N, t \geq 1$ and $1 \leq i \leq N^t$, let $\text{digit}(i, N, t)$ denote the t th digit of the number $(i-1)$ in base N , that is, $i-1 = \sum_{t=1}^{\lceil \log_N i \rceil} \text{digit}(i, N, t)N^{t-1}$. For example, $\text{digit}(7, 2, 1) = 0$, $\text{digit}(7, 2, 2) = 1$, and $\text{digit}(7, 2, 3) = 1$, since the number 6 is denoted by “110” in base 2.

Let U be a finite set called a *learning domain*. We call a subset f of U a *concept*. A concept f can be regarded as a function $f: U \rightarrow \{0, 1\}$, where $f(x) = 1$ if x is in the concept and $f(x) = 0$ otherwise. A *concept class* is a nonempty set $\mathcal{F} \subseteq 2^U$. We represent a concept class \mathcal{F} over a finite learning domain U by a $|U| \times |\mathcal{F}|$ matrix M with $M_{ij} = f_j(x_i)$. Each column represents a concept in \mathcal{F} . For a $\{0, 1\}$ -valued matrix M , let \mathcal{F}_M denote the concept class which M represents.

Definition 1. We say that \mathcal{F} *shatters* a set $S \subseteq U$ if for every subset $T \subseteq S$ there exists a concept $f \in \mathcal{F}$ which *cuts* T out of S , i.e., $T = S \cap f$. The *Vapnik–Chervonenkis dimension* of \mathcal{F} , denoted by $\text{VC-dim}(\mathcal{F})$, is the maximum cardinality of a set which is shattered by \mathcal{F} .

Lemma 1 (Natarajan [8]). For any concept class \mathcal{F} , $\text{VC-dim}(\mathcal{F}) \leq \log |\mathcal{F}|$.

By this lemma, Linial et al. [5] immediately claimed that a simple algorithm which enumerates all possible sets to be shattered shall terminate in $n^{O(\log n)}$ time, where n is the size of a given matrix.

Definition 2 (Linial et al. [5]). The *discrete VC-dimension problem* is, given a $\{0, 1\}$ -valued matrix M and integer $K \geq 1$, to determine whether $\text{VC-dim}(\mathcal{F}_M) \geq K$ or not.

Definition 3 (Megiddo and Vishkin [6]). The classes $\text{SAT}_{\log^2 n}$ and $\text{SAT}_{\log^2 n}^{\text{CNF}}$ are defined as follows:

- (1) A set L is in $\text{SAT}_{\log^2 n}$ if there exists a Turing machine \mathcal{M} , a polynomial $p(n)$, and a constant C , such that for every string I of length n , \mathcal{M} converts I within $p(n)$ time into a boolean formula Φ_I (whose length is necessarily less than $p(n)$) with at most $C \log^2 n$ variables, so that $I \in L$ if and only if Φ_I is satisfiable.
- (2) The definition of $\text{SAT}_{\log^2 n}^{\text{CNF}}$ is essentially the same as that of $\text{SAT}_{\log^2 n}$ except that the formula Φ_I is in conjunctive normal form.

From the definitions, it is easy to see that

$$P \subseteq \text{SAT}_{\log^2 n}^{\text{CNF}} \subseteq \text{SAT}_{\log^2 n} \subseteq \text{NP}.$$

3. Complexity of the discrete VC-dimension problem

In this section, we show that the discrete VC-dimension problem is in the class $\text{SAT}_{\log^2 n}$, and hard for the class $\text{SAT}_{\log^2 n}^{\text{CNF}}$.

Theorem 1. *The discrete VC-dimension problem is in $\text{SAT}_{\log^2 n}$.*

Proof. The problem is a special case of the VC*-dimension problem, which will be shown to be in $\text{SAT}_{\log^2 n}$ in Theorem 3. \square

Theorem 2. *Every $L \in \text{SAT}_{\log^2 n}^{\text{CNF}}$ is polynomial-time reducible to the discrete VC-dimension problem.*

We use the following lemma in the proof of Theorem 2.

Lemma 2. *Let \mathcal{F} be a concept class over a learning domain U , and S be a subset of U with $|S|=d \geq 2$. If S is shattered by \mathcal{F} , then for any two distinct x and y in S , the number of concepts which contain exactly one of either x or y is at least 2^{d-1} , i.e.,*

$$|\{f \in \mathcal{F} \mid f(x) \neq f(y)\}| \geq 2^{d-1}.$$

Proof. Let $\mathcal{F}_{\bar{x}y} = \{f \in \mathcal{F} \mid f(x)=0, f(y)=1\}$, and $\mathcal{F}_{x\bar{y}} = \{f \in \mathcal{F} \mid f(x)=1, f(y)=0\}$. Then $\{f \in \mathcal{F} \mid f(x) \neq f(y)\} = \mathcal{F}_{\bar{x}y} \cup \mathcal{F}_{x\bar{y}}$, and $\mathcal{F}_{\bar{x}y} \cap \mathcal{F}_{x\bar{y}} = \emptyset$. It is easy to see that if S is shattered by \mathcal{F} then the set $S - \{x, y\}$ is shattered by both $\mathcal{F}_{\bar{x}y}$ and $\mathcal{F}_{x\bar{y}}$. By Lemma 1, $|S - \{x, y\}| \leq \log |\mathcal{F}_{\bar{x}y}|$ and $|S - \{x, y\}| \leq \log |\mathcal{F}_{x\bar{y}}|$. Thus $|\mathcal{F}_{\bar{x}y}| \geq 2^{d-2}$ and $|\mathcal{F}_{x\bar{y}}| \geq 2^{d-2}$, which yield $|\{f \in \mathcal{F} \mid f(x) \neq f(y)\}| = |\mathcal{F}_{\bar{x}y}| + |\mathcal{F}_{x\bar{y}}| \geq 2^{d-2} + 2^{d-2} = 2^{d-1}$. \square

Proof of Theorem 2. Let $L \in \text{SAT}_{\log^2 n}^{\text{CNF}}$. Then there is a constant C_L and a polynomial $p_L(n)$ such that every string I of length n can be reduced in $p_L(n)$ time to a boolean formula in conjunctive normal form with at most $C_L \log^2 n$ variables, whose satisfiability coincides with the membership $I \in L$. Therefore, we have only to show that, for any C , there is a polynomial-time reduction from the satisfiability problem in conjunctive normal form with at most $C \log^2 n$ variables to the discrete VC-dimension problem. Let $\Phi = E_1 \wedge \dots \wedge E_m$ ($m \geq 2$) be a boolean formula where each E_i is a disjunction and the total number of distinct variables occurring in Φ is not greater than $C \log^2 m$. Without loss of generality, we can assume that m is a power of 2. We can also assume that the number of variables is exactly $C \log^2 m$, and let us rename them, for convenience, with double indices v_{st} ($1 \leq s \leq \log m$, $1 \leq t \leq C \log m$). We first construct a matrix M_Φ which has $(m^C + 1) \log m$ rows and $m^2 + m(\log m - 1)$ columns, and then prove that $\text{VC-dim}(\mathcal{F}_{M_\Phi}) \geq 2 \log m$ if and only if Φ is satisfiable.

The learning domain U corresponding to Φ is defined as $U = X \cup Y$ with $X \cap Y = \emptyset$, where $X = \{x_{sl} \mid 1 \leq s \leq \log m, 1 \leq l \leq m^C\}$ and $Y = \{y_u \mid 1 \leq u \leq \log m\}$. Let $X_s = \{x_{sl} \in X \mid 1 \leq l \leq m^C\}$ for each $s \in \{1, \dots, \log m\}$, and let $X^{[k]} = \bigcup_{s \in \{s \mid \text{digit}(k, 2, s) = 1\}} X_s$ for each $k \in \{1, \dots, m\}$. The i th subset $Y^{[i]}$ of Y is defined by $Y^{[i]} = \{y_u \in Y \mid \text{digit}(i, 2, u) = 1\}$ for each $i \in \{1, \dots, m\}$.

The concept class $\mathcal{F} \subseteq 2^U$ is defined as the union of distinct subclasses F_1, \dots, F_m , and G . Here, the structure of G depends only on the number m :

$$G = \{g_{ik} \mid 1 \leq i \leq m, 1 \leq k \leq m-1\}, \quad \text{where } g_{ik} = Y^{[i]} \cup X^{[k]}.$$

We emphasize that k ranges only to $m - 1$, but not m . On the other hand, each concept in F_i reflects the structure of the clause E_i in Φ :

$$F_i = \{f_{ij} \mid 1 \leq j \leq \log m\}, \text{ where } f_{ij} = Y^{[i]} \cup (X - X_j) \cup X_j^*(E_i)$$

with

$$X_j^*(E_j) = \left\{ x_{jt} \in X_j \mid \begin{array}{l} E_i \text{ contains a positive literal } v_{jt} \text{ with } \text{digit}(l, 2, t) = 1 \text{ or} \\ E_i \text{ contains a negative literal } \neg v_{jt} \text{ with } \text{digit}(l, 2, t) = 0 \\ \text{for some } t \in \{1, \dots, C \log m\} \end{array} \right\}$$

Fig. 1 illustrates the structure of the matrix M_Φ .

Clearly the cardinality of learning domain, i.e., the row size of the matrix M representing \mathcal{F} is

$$|U| = |X| + |Y| = m^C \cdot \log m + \log m = (m^C + 1) \log m$$

and the cardinality of the concept class \mathcal{F} , i.e., the column size of M is

$$|\mathcal{F}| = |G| + |F_1| + \dots + |F_m| = m(m - 1) + m \cdot \log m.$$

Moreover, it is easy to see that M_Φ can be constructed in polynomial time with respect to the length of a given formula Φ .

We now prove that if the formula Φ is satisfiable then $\text{VC-dim}(\mathcal{F}) \geq 2 \log m$. For an assignment σ which satisfies Φ , we consider the set $S_\sigma = Y \cup X_\sigma$ with

$$X_\sigma = \{x_{s, \langle \sigma, s \rangle} \in X \mid 1 \leq s \leq \log m\}, \text{ where } \langle \sigma, s \rangle = \sum_{t=1}^{C \log m} 2^{t-1} \cdot \sigma(v_{st}) + 1.$$

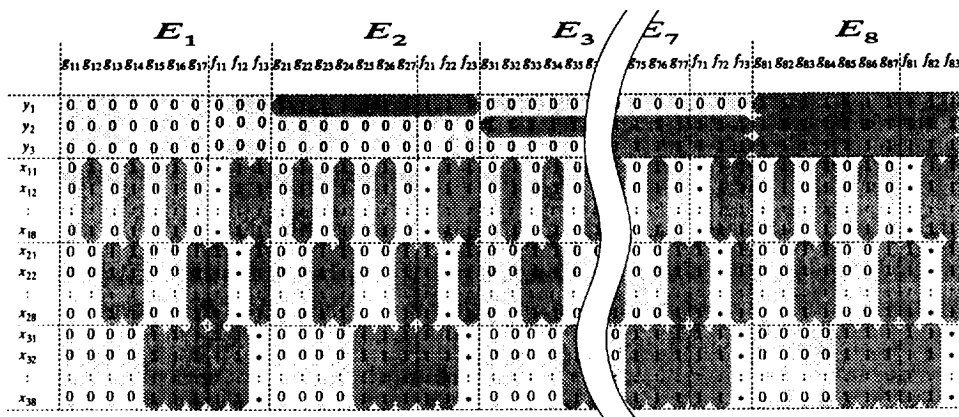


Fig. 1. Structure of the matrix M_Φ reduced from a boolean formula $\Phi = E_1 \wedge E_2 \wedge \dots \wedge E_8$ with $C = 1$. In this case, $K = 2 \log 8 = 6$. The only elements marked * depend on the structure of each clause E_i in Φ .

It is clear that $|S_\sigma| = |Y| + |X_\sigma| = 2 \log m$. We will show that S_σ is shattered by \mathcal{F} , i.e., for every $T \subseteq S_\sigma$, there exists an $f \in \mathcal{F}$ with $S_\sigma \cap f = T$. Let $i_T = \sum_{y \in T \cap Y} 2^{u-1} + 1$. It is easy to see that $i_T \in \{1, \dots, m\}$ and $T \cap Y = Y^{[i_T]}$. According to $T \cap X_\sigma = X_\sigma$ or not, we have the following two cases.

(1) In case of $T \cap X_\sigma \subsetneq X_\sigma$, let $k_T = \sum_{x_s, \langle \sigma, s \rangle \in T \cap X_\sigma} 2^{s-1} + 1$. Then we can see that $k_T \in \{1, \dots, m-1\}$ and $T \cap X_\sigma = X^{[k_T]} \cap X_\sigma$. Therefore, the concept $g_{i_T, k_T} \in G \subseteq \mathcal{F}$ cuts T out of S_σ as follows:

$$\begin{aligned} g_{i_T, k_T} \cap S_\sigma &= (Y^{[i_T]} \cup X^{[k_T]}) \cap (Y \cup X_\sigma) = (Y^{[i_T]} \cap Y) \cup (X^{[k_T]} \cap X_\sigma) \\ &= (T \cap Y) \cup (T \cap X_\sigma) = T. \end{aligned}$$

(2) In case of $T \cap X_\sigma = X_\sigma$, since σ satisfies Φ , the disjunction E_{i_T} in Φ is also satisfied by σ . That means E_{i_T} contains either positive literal v_{st} with $\sigma(v_{st}) = 1$, or negative literal $\neg v_{st}$ with $\sigma(v_{st}) = 0$, for some s and t . Let us take such an s (not necessarily unique), and let $j_T = s$. Then by the definition of $\langle \sigma, j_T \rangle$, we see $\text{digit}(\langle \sigma, j_T \rangle, 2, t) = \sigma(v_{j_T, t})$ for each t . Thus, $x_{j_T, \langle \sigma, j_T \rangle}$ is included in $X_{j_T}^*(E_{i_T})$, and moreover, $X_{j_T}^*(E_{i_T}) \cap X_\sigma = \{x_{j_T, \langle \sigma, j_T \rangle}\}$. Therefore, the concept $f_{i_T, j_T} \in F_{i_T} \subseteq \mathcal{F}$ cuts T out of S_σ as follows:

$$\begin{aligned} f_{i_T, j_T} \cap S_\sigma &= (Y^{[i_T]} \cup (X - X_{j_T}) \cup X_{j_T}^*(E_{i_T})) \cap (Y \cup X_\sigma) \\ &= (Y^{[i_T]} \cap Y) \cup ((X - X_{j_T}) \cap X_\sigma) \cup (X_{j_T}^*(E_{i_T}) \cap X_\sigma) \\ &= (T \cap Y) \cup (X_\sigma - \{x_{j_T, \langle \sigma, j_T \rangle}\}) \cup \{x_{j_T, \langle \sigma, j_T \rangle}\} \\ &= (T \cap Y) \cup X_\sigma = (T \cap Y) \cup (T \cap X_\sigma) = T. \end{aligned}$$

In each case, T is shown to be cut out of S_σ by some concept in \mathcal{F} . Therefore, S_σ is shattered by \mathcal{F} .

We now show the converse. Suppose that $\text{VC-dim}(\mathcal{F}) \geq 2 \log m$. Then there is a set $S \subseteq U$ of cardinality $2 \log m$ which is shattered by \mathcal{F} .

Claim 1. S contains exactly one element from each X_s ($1 \leq s \leq \log m$) and all elements from Y .

Proof. Case $m=2$: The learning domain is $U = \{y_1\} \cup X_1$ and the concept class is $\mathcal{F} = \{f_{11}, g_{11}, f_{21}, g_{21}\}$. Since $|\mathcal{F}| = 4$ and $g_{11}(x) = g_{21}(x) = 0$ for any $x \in X_1$, no two elements from X_1 can be included in S which is to be shattered by \mathcal{F} . Moreover, since $|Y| = |\{y_1\}| = 1$, the claim holds.

Case $m \geq 3$: Let $s \in \{1, \dots, \log m\}$ be fixed arbitrarily, and x_1, x_2 be distinct elements in X_s . Suppose that S contains both x_1 and x_2 . Then by Lemma 2,

$$|\{h \in \mathcal{F} \mid h(x_1) \neq h(x_2)\}| \geq 2^{2 \log m - 1} = \frac{1}{2} m^2.$$

On the other hand, let us consider a concept $h \in \mathcal{F}$ with $h(x_1) \neq h(x_2)$. Since $g_{ik}(x_1) = g_{ik}(x_2) = \text{digit}(k, 2, s)$ for any $g_{ik} \in G$, the concept h is not in G . Moreover, since $f_{ij}(x_1) = f_{ij}(x_2) = 1$ for any $f_{ij} \in F_1 \cup \dots \cup F_m$ with $j \neq s$, thus h must be one of the concepts from $\{f_{1s}, f_{2s}, \dots, f_{ms}\}$. Therefore,

$$|\{h \in \mathcal{F} \mid h(x_1) \neq h(x_2)\}| \leq |\{f_{1s}, f_{2s}, \dots, f_{ms}\}| = m,$$

which yields a contradiction since $\frac{1}{2}m^2 > m$ for any $m \geq 3$. Thus, S can contain at most one element from X_s for each $s \in \{1, \dots, \log m\}$. Since $|S| = 2 \log m$ and $|Y| = \log m$, the set S must contain exactly one element from each X_s and all elements from Y . \square

Proof of Theorem 2 (conclusion). Therefore, for each $s \in \{1, \dots, \log m\}$, there is a unique $l = l(s) \in \{1, \dots, m^C\}$ such that $x_{s,l(s)} \in S$, and we can assume that $S = Y \cup X_{(l)}$, where $X_{(l)} = \{x_{s,l(s)} \mid 1 \leq s \leq \log m\}$. Let σ_S be an assignments corresponding to S with

$$\sigma_S(v_{st}) = \text{digit}(l(s), 2, t) \quad (1 \leq s \leq \log m, 1 \leq t \leq C \log m).$$

We now show that σ_S satisfies all disjunctions E_i in Φ . Let $i \in \{1, \dots, m\}$ be fixed arbitrarily. Since S is shattered by \mathcal{F} , for the subset $T_i = Y^{[i]} \cup X_{(l)}$ of S there is a concept $h_i \in \mathcal{F}$ with $S \cap h_i = T_i$. Since $S \cap h_i = (Y \cap h_i) \cup (X_{(l)} \cap h_i)$, the concept h_i must satisfy the following two conditions:

$$Y \cap h_i = Y^{[i]}, \tag{1}$$

$$X_{(l)} \cap h_i = X_{(l)}. \tag{2}$$

Note that no concept in G satisfies condition (2), and no concept in $F_{i'}$ with $i' \neq i$ satisfies condition (1). Therefore, such an $h_i \in \mathcal{F}$ is in F_i , and thus we can assume $h_i = f_{ij}$ for some $j \in \{1, \dots, \log m\}$. The above condition (2) requires that f_{ij} contains all elements from $X_{(l)}$. Especially, remark that $x_{j,l(j)} \in X_{(l)}$ is included in f_{ij} for the above j . By the definition of f_{ij} , the element $x_{j,l(j)}$ is in $X_j^*(E_i)$. Thus the clause E_i satisfies either (a) or (b):

(a) E_i contains a positive literal v_{jt} with $\text{digit}(l(j), 2, t) = 1$,

(b) E_i contains a negative literal $\neg v_{jt}$ with $\text{digit}(l(j), 2, t) = 0$.

By the definition of σ_S , we see $\sigma_S(v_{jt}) = 1$ in case of (a), and $\sigma_S(v_{jt}) = 0$ in case of (b). In each case, $\sigma_S(E_i) = 1$. Therefore, σ_S satisfies every disjunction E_i in Φ . Thus Φ is satisfiable. \square

4. Complexity of the VC*-dimension problem

In this section, we introduce a natural generalization of the VC-dimension, which is defined for a class of $\{0, \dots, N\}$ -valued functions. Then we show that the generalized VC-dimension problem is still in $\text{SAT}_{\log^2 n}$ and $\text{SAT}_{\log^2 n}^{\text{CNF}}$ -hard, as well as the original

VC-dimensional problem. Therefore we may interpret that the generalized VC-dimension problem is also “complete” for the class of $n^{O(\log n)}$ time computable sets.

Let \mathbb{N} be the set of natural numbers. For a class \mathcal{F} of functions from U to \mathbb{N} , we define $\text{range}(\mathcal{F}) = \bigcup_{f \in \mathcal{F}} \{f(x) \mid x \in U\}$. We represent \mathcal{F} by a $|U| \times |\mathcal{F}|$ matrix M with $M_{ij} = f_j(x_i)$. For an integer matrix M , let \mathcal{F}_M denote the class of functions which M represents.

The following definition seems to be one of the most natural extensions of the VC-dimension for a class of $\{0, \dots, N\}$ -valued functions.

Definition 4. Let \mathcal{F} be a class of functions over U . We say that \mathcal{F} shatters a set $S \subseteq U$ if for every function g from S to $\text{range}(\mathcal{F})$, there exists a function $f \in \mathcal{F}$ such that $f(x) = g(x)$ for all $x \in S$. The VC*-dimension of \mathcal{F} , denoted by $\text{VC}^*\text{-dim}(\mathcal{F})$, is the maximum cardinality of a set which is shattered by \mathcal{F} .

We can easily see that $\text{VC}^*\text{-dim}(\mathcal{F})$ coincides with $\text{VC-dim}(\mathcal{F})$ for any class \mathcal{F} of functions with $\text{range}(\mathcal{F}) = \{0, 1\}$. It is not hard to verify the following inequality, that is a generalization of Lemma 1.

Lemma 3. For any class \mathcal{F} of functions,

$$\text{VC}^*\text{-dim}(\mathcal{F}) \leq \frac{\log |\mathcal{F}|}{\log |\text{range}(\mathcal{F})|}.$$

Definition 5. The VC*-dimension problem is, given an integer matrix M and integer $K \geq 1$, to determine whether $\text{VC}^*\text{-dim}(\mathcal{F}_M) \geq K$ or not.

We now show that the VC*-dimension problem is polynomial-time reducible to the satisfiability problem of a boolean formula of length J with $O(\log^2 J)$ variables. The next theorem includes Theorem 1 as a special case.

Theorem 3. The VC*-dimension problem is in $\text{SAT}_{\log^2 n}$.

Proof. Let M be an $m \times r$ matrix and K be an integer, and let $N = |\text{range}(\mathcal{F})|$. We denote the elements in $\text{range}(\mathcal{F}_M)$ by y_1, y_2, \dots, y_N . By Lemma 3, we can assume that $K \leq \log r / \log N$ without loss of generality. Moreover, we can also assume that $m = 2^l$ for some integer l ; if $m < 2^l$ for $l = \lceil \log m \rceil$, then we enlarge M by duplicating the last row of M until the row size reaches 2^l . It is easy to see that the size of the enlarged matrix M' is less than twice as large as that of the original matrix M , and $\text{VC}^*\text{-dim}(\mathcal{F}_{M'}) = \text{VC}^*\text{-dim}(\mathcal{F}_M)$.

We now construct a boolean formula Φ_M which contains $K \cdot l$ variables v_{kt} ($1 \leq k \leq K, 1 \leq t \leq l$) as follows:

$$\Phi_M = \bigwedge_{s=1}^{N^K} \bigvee_{j=1}^r \beta_{sj},$$

$$\beta_{sj} = \bigwedge_{k=1}^K \alpha_{kj, \text{digit}(s, N, k) + 1} \quad (1 \leq s \leq N^K, 1 \leq j \leq r),$$

$$\alpha_{kjq} = \bigvee_{i \in \{i \mid M_{ij} = y_q\}} \chi_{ki} \quad (1 \leq k \leq K, 1 \leq j \leq r, 1 \leq q \leq N),$$

$$\chi_{ki} = \bigwedge_{t=1}^l [v_{kt}; \text{digit}(i, 2, t) = 1] \quad (1 \leq k \leq K, 1 \leq i \leq m).$$

Note that the length of Φ_M is

$$\begin{aligned} |\Phi_M| &\leq l \cdot m \cdot K \cdot r \cdot N^K \\ &\leq \log m \cdot m \cdot \log r \cdot r \cdot r \quad (\text{since } N^K \leq r) \\ &< n^2 \log^2 n, \end{aligned}$$

where $n = m \cdot r$ is the size of the given matrix M . Also note that Φ_M can be constructed in polynomial time with respect to n .

Let $U = \{x_1, x_2, \dots, x_m\}$ be the learning domain and $\mathcal{F}_M = \{f_1, f_2, \dots, f_r\}$ be the class of functions which M represents. We will show that the formula Φ_M is satisfiable if and only if \mathcal{F}_M shatters a set $S \subseteq U$ of cardinality K .

For each assignment σ , we define a set $S_\sigma \subseteq U$ as follows:

$$S_\sigma = \{x_{\langle \sigma, k \rangle} \mid 1 \leq k \leq K\}, \quad \text{where } \langle \sigma, k \rangle = \sum_{t=1}^l 2^{t-1} \cdot \sigma(v_{kt}) + 1.$$

It should be noted that the cardinality of S_σ is not always equal to K , since there may be two distinct k_1 and k_2 with $\langle \sigma, k_1 \rangle = \langle \sigma, k_2 \rangle$ in general.

We now show through a sequence of equivalences that an assignment σ satisfies Φ_M if and only if $|S_\sigma| = K$ and S_σ is shattered by \mathcal{F}_M .

First, for any $k \in \{1, \dots, K\}$ and any $i \in \{1, \dots, m\}$,

$$\begin{aligned} \sigma(\chi_{ki}) = 1 &\Leftrightarrow \sigma([v_{kt}; \text{digit}(i, 2, t) = 1]) = 1 \text{ for each } t \in \{1, \dots, l\} \\ &\Leftrightarrow \sigma(v_{kt}) = \begin{cases} 1 & \text{if } \text{digit}(i, 2, t) = 1 \\ 0 & \text{if } \text{digit}(i, 2, t) = 0 \end{cases} \text{ for each } t \in \{1, \dots, l\} \\ &\Leftrightarrow \text{digit}(i, 2, t) = \sigma(v_{kt}) \text{ for each } t \in \{1, \dots, l\} \\ &\Leftrightarrow \sum_{t=1}^l 2^{t-1} \cdot \text{digit}(i, 2, t) = \sum_{t=1}^l 2^{t-1} \cdot \sigma(v_{kt}) \\ &\Leftrightarrow i = \langle \sigma, k \rangle. \end{aligned}$$

Next, for any $k \in \{1, \dots, K\}$, any $j \in \{1, \dots, r\}$, and any $q \in \{1, \dots, N\}$,

$$\begin{aligned} \sigma(\alpha_{kjq}) = 1 &\Leftrightarrow \sigma(\chi_{ki}) = 1 \text{ and } M_{ij} = y_q \text{ for some } i \in \{1, \dots, m\} \\ &\Leftrightarrow i = \langle \sigma, k \rangle \text{ and } f_j(x_i) = y_q \\ &\Leftrightarrow f_j(x_{\langle \sigma, k \rangle}) = y_q. \end{aligned}$$

For every integer $s \in \{1, \dots, N^K\}$, let g_s be the function from S_σ to $\text{range}(\mathcal{F}_M)$ such that $g_s(x_{\langle \sigma, k \rangle}) = y_{\text{digit}(s, N, k) + 1}$ for each $k \in \{1, \dots, K\}$. Then, for any $s \in \{1, \dots, N^K\}$ and any $j \in \{1, \dots, r\}$,

$$\begin{aligned} \sigma(\beta_{sj}) = 1 &\Leftrightarrow \sigma(x_{kj, \text{digit}(s, N, k) + 1}) = 1 \quad \text{for each } k \in \{1, \dots, K\} \\ &\Leftrightarrow f_j(x_{\langle \sigma, k \rangle}) = y_{\text{digit}(s, N, k) + 1} \quad \text{for each } k \in \{1, \dots, K\}, \text{ and} \\ &\quad \text{digit}(s, N, k_1) \neq \text{digit}(s, N, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\quad \text{for any } k_1, k_2 \in \{1, \dots, K\}. \\ &\Leftrightarrow f_j(x_{\langle \sigma, k \rangle}) = g_s(x_{\langle \sigma, k \rangle}) \quad \text{for all } k \in \{1, \dots, K\}, \text{ and} \\ &\quad \text{digit}(s, N, k_1) \neq \text{digit}(s, N, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\quad \text{for any } k_1, k_2 \in \{1, \dots, K\}. \\ &\Leftrightarrow f_j(x) = g_s(x) \quad \text{for all } x \in S_\sigma, \text{ and} \\ &\quad \text{digit}(s, N, k_1) \neq \text{digit}(s, N, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\quad \text{for any } k_1, k_2 \in \{1, \dots, K\}. \end{aligned}$$

Finally, we get the following equivalence:

$$\begin{aligned} \sigma(\Phi_M) = 1 &\Leftrightarrow \sigma\left(\bigvee_{j=1}^r \beta_{sj}\right) = 1 \quad \text{for any } s \in \{1, \dots, N^K\} \\ &\Leftrightarrow \text{for each } s \in \{1, \dots, N^K\}, \\ &\quad \text{there exists } f_j \in \mathcal{F}_M \text{ with } g_s(x) = f_j(x) \text{ for all } x \in S_\sigma \text{ and} \\ &\quad \text{digit}(s, N, k_1) \neq \text{digit}(s, N, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\Leftrightarrow k_1 \neq k_2 \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle, \text{ and} \\ &\quad \text{for every function } g \text{ from } S_\sigma \text{ to } \text{range}(\mathcal{F}), \text{ there exists } f_j \in \mathcal{F}_M \\ &\quad \text{such that } f_j(x) = g(x) \text{ for all } x \in S_\sigma \\ &\Leftrightarrow |S_\sigma| = K \text{ and } S_\sigma \text{ is shattered by } \mathcal{F}_M \end{aligned}$$

Thus the formula Φ_M is satisfiable if and only if $\text{VC-dim}(\mathcal{F}_M) \geq K$. \square

The next theorem shows that the VC*-dimension problem is $\text{SAT}_{\log^2 n}^{\text{CNF}}$ -hard.

Theorem 4. Every $L \in \text{SAT}_{\log^2 n}^{\text{CNF}}$ is polynomial-time reducible to the VC*-dimension problem.

Proof. The generalized VC-dimension problem includes the original VC-dimension problem in which an instance is restricted to $\{0, 1\}$ -valued matrix. Therefore, Theorem 2 immediately derives this theorem. \square

5. Complexity of the Ψ -dimension problems

The VC*-dimension introduced in the previous section seems to be one of the most natural extension of the VC-dimension to the class of $\{0, \dots, N\}$ -valued functions. However, it has not been used actually in the literatures. The reason is that the cardinality of the largest class \mathcal{F} of functions over U of a given dimension grows exponentially in $|U|$ for all $|\text{range}(\mathcal{F})| > 2$ [1, 2], whereas polynomial growth is desirable for the PAC-learning model. As alternative definitions, a variety of notions of dimension to classes of $\{0, \dots, N\}$ -valued functions had been proposed [4, 7], and Ben-David et al. [2] gave a general scheme which unified them. They introduced Ψ -dimension, where Ψ is a family of mappings which translate $\{0, \dots, N\}$ -valued functions into $\{0, 1\}$ -valued ones. In this section, we investigate the complexity of computing Ψ -dimension for two special families Ψ_* and Ψ_G . We show that the Ψ_* -dimension problem is NP-complete, while the Ψ_G -dimension is still in $\text{SAT}_{\log^2 n}$.

Definition 6. Let Ψ be a family of the mappings ψ from \mathbb{N} to $\{0, 1, *\}$, where $*$ will be thought of as a null element. Let \mathcal{F} be a class of functions over U . We say that \mathcal{F} Ψ -shatters a set $S \subseteq U$ if there exists a mapping $\psi \in \Psi$ which satisfies the following condition: for every subset $T \subseteq S$, there exists a function $f \in \mathcal{F}$ with $\psi(f(x)) = 1$ for any $x \in T$ and $\psi(f(x)) = 0$ for any $x \in S - T$. That is, Ψ -shattering requires that under some mapping $\psi \in \Psi$, \mathcal{F} contains all functions from U to $\{0, 1\}$. The Ψ -dimension of \mathcal{F} , denoted by $\Psi\text{-dim}(\mathcal{F})$, is the maximum cardinality of a set which is Ψ -shattered by \mathcal{F} .

Remark 1. In [2], they introduced more general notions of Ψ -shatter and Ψ -dimension. Our definition of the Ψ -dimension corresponds to the *uniform Ψ -dimension* they call.

Definition 7. For a family Ψ of mappings from \mathbb{N} to $\{0, 1, *\}$, we define the Ψ -dimension problem as the decision problem to determine whether $\Psi\text{-dim}(\mathcal{F}_M) \geq K$ or not for given integer matrix M and an integer $K \geq 1$.

Let Ψ_* be the family of all mappings from \mathbb{N} to $\{0, 1, *\}$. Therefore, the Ψ_* -dimension problem is the most general one in the family of Ψ -dimension problems.

Theorem 5. The Ψ_* -dimension problem is NP-complete.

Proof. It is easy to see that the Ψ_* -dimension problem is in NP: guess a set $S \subseteq U$ of size K and a mapping $\psi \in \Psi_*$ nondeterministically, and verify that $\mathcal{F}_M \Psi_*$ -shatters

S by consecutively guessing appropriate f 's in \mathcal{F}_M . This procedure terminates in polynomial time.

We now give a polynomial-time reduction from 3SAT to the problem. Let $\Phi = E_1 \cdots E_m$ be a formula in 3-CNF with n variables. Without loss of generality, we can assume that m is a power of 2, and variables are indexed as $v_2, v_3, \dots, v_n, v_{n+1}$. We first construct a matrix M_Φ which has $\log m + 2$ rows and $6m$ columns, and then prove that $\Psi_*\text{-dim}(\mathcal{F}_{M_\Phi}) \geq \log m + 2$ if and only if Φ is satisfiable.

The learning domain U corresponding to Φ is defined as $U = \{x_0, x_1, y_1, y_2, \dots, y_{\log m}\}$. The class \mathcal{F}_{M_Φ} of functions from U to $\{0, 1, \dots, n+1\}$ is

$$\mathcal{F}_{M_\Phi} = \bigcup_{i=1}^m \{f_{i1}, f_{i2}, f_{i3}, g_{i1}, g_{i2}, g_{i3}\},$$

where each function in \mathcal{F}_{M_Φ} is defined as follows. For each $i \in \{1, \dots, m\}$ and $u \in \{1, \dots, \log m\}$,

$$f_{ik}(y_u) = g_{ik}(y_u) = \text{digit}(i, 2, u) \quad \text{for all } k \in \{1, 2, 3\},$$

that is, the value of f_{ik} and g_{ik} on y_u corresponds with the u th binary digit of the number $i-1$. For each $i \in \{1, \dots, m\}$,

$$\begin{aligned} g_{i1}(x_0) &= 0, & g_{i2}(x_0) &= 1, & g_{i3}(x_0) &= 1, \\ g_{i1}(x_1) &= 0, & g_{i2}(x_1) &= 0, & g_{i3}(x_1) &= 1, \end{aligned}$$

which are independent of the structure of Φ . On the other hand, the values of f_{i1}, f_{i2} and f_{i3} on x_0 and x_1 reflect the structure of the clause $E_i = (l_{i1} \vee l_{i2} \vee l_{i3})$ in Φ . For each $k \in \{1, 2, 3\}$, if the literal l_{ik} is a negative literal $\neg v_q$, then we define

$$f_{ik}(x_0) = q, \quad f_{ik}(x_1) = 1.$$

Otherwise (l_{ik} is a positive literal v_q),

$$f_{ik}(x_0) = 0, \quad f_{ik}(x_1) = q.$$

It should be noted that q ranges from 2 to $n+1$. Fig. 2 illustrates the structure of the matrix M_Φ .

It is easy to see that M_Φ can be constructed in polynomial time with respect to the length of given formula Φ .

We now prove that if the formula Φ is satisfiable then $\Psi_*\text{-dim}(\mathcal{F}) = \log m + 2$. For an assignment σ which satisfies Φ , we consider a mapping $\psi_\sigma \in \Psi_*$ with

$$\begin{aligned} \psi_\sigma(0) &= 0, & \psi_\sigma(1) &= 1, \\ \psi_\sigma(q) &= \sigma(v_q) \quad \text{for each } q \in \{2, \dots, n+1\}. \end{aligned}$$

Let $i \in \{1, \dots, m\}$ be fixed arbitrarily. Since the clause E_i is satisfied by the assignment σ , E_i contains either positive literal v_q with $\sigma(v_q) = 1$ or negative literal $\neg v_q$ with $\sigma(v_q) = 0$.

	E_1			E_2			E_3			E_7			E_8																				
	g_{11}	g_{12}	g_{13}	f_{11}	f_{12}	f_{13}	g_{21}	g_{22}	g_{23}	f_{21}	f_{22}	f_{23}	g_{31}	g_{32}	g_{33}	f_{31}	f_{32}	f_{33}	g_{41}	g_{42}	g_{43}	f_{71}	f_{72}	f_{73}	g_{81}	g_{82}	g_{83}	f_{81}	f_{82}	f_{83}			
y_1	0	0	0	0	0	0	1	1	1	1	1	1	0	0	0	0	0	0	1	1	1	0	0	0	0	1	1	1	1	1	1	1	1
y_2	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1	1
y_3	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1	1	1	1	1	1	1	1
x_0	0	1	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	1	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	1	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	1	1	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	1	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$		
x_1	0	0	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	0	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	0	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	0	1	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$	0	0	1	$\begin{pmatrix} 0 \\ q \end{pmatrix}$	or	$\begin{pmatrix} q \\ 1 \end{pmatrix}$		

Fig. 2. Structure of the matrix M_Φ reduced from a boolean formula $\Phi = E_1 \wedge E_2 \wedge \dots \wedge E_8$. The only shadowed elements depend on the structure of each clause E_i in Φ .

In each case, we have $f_{ik} \in \mathcal{F}_{M_\Phi}$ such that

$$\psi_\sigma(f_{ik}(x_0)) = 0, \quad \psi_\sigma(f_{ik}(x_1)) = 1,$$

for some $k \in \{1, 2, 3\}$. Thus we can see that, under the mapping ψ_σ , the class \mathcal{F}_{M_Φ} contains all functions from U to $\{0, 1\}$. Therefore, U is Ψ_* -shattered by \mathcal{F}_{M_Φ} .

We now show the converse. Suppose that $\Psi_*\text{-dim}(\mathcal{F}_{M_\Phi}) = \log m + 2$. Then there is a subset of U with cardinality $\log m + 2$ which is Ψ_* -shattered by \mathcal{F}_{M_Φ} . Since $|U| = \log m + 2$, U itself is Ψ_* -shattered by \mathcal{F}_{M_Φ} . That is, there exists a mapping $\psi \in \Psi_*$, under which \mathcal{F}_{M_Φ} contains all functions from U to $\{0, 1\}$. We can assume that $\psi(0) = 0$ and $\psi(1) = 1$ without loss of generality. For such a ψ , we define the assignment σ_ψ with $\sigma_\psi(v_q) = \psi(q)$ for each $q \in \{2, \dots, n+1\}$. Then we can verify that σ_ψ satisfies every disjunction E_i in Φ . Thus Φ is satisfiable. \square

Natarajan [7] introduced the *graph dimension* in order to characterize the learnability of a class of $\{0, \dots, N\}$ -valued functions.

Definition 8. The *graph dimension* is the Ψ_G -dimension, where $\Psi_G = \{\psi_{G,\tau} \mid \tau \in \mathbb{N}\}$, and $\psi_{G,\tau}$ is defined by

$$\psi_{G,\tau}(a) = \begin{cases} 1 & \text{if } a = \tau, \\ 0 & \text{otherwise.} \end{cases}$$

From the definition, Ψ_G is a subset of Ψ_* . The following theorem gives an interesting contrast with the Theorem 5.

Theorem 6. The Ψ_G -dimension problem is in $\text{SAT}_{\log^2 n}$.

Proof. The outline and notations follow the proof of Theorem 3. Let M be an $m \times r$ matrix and K be an integer. We should remark that in this time, the inequality

$K \leq \log r / \log N$ does not necessarily hold. Instead, we can only assume that $K \leq \log r$. We construct a boolean formula Φ'_M as

$$\begin{aligned}\Phi'_M &= \bigvee_{q=1}^N \Phi'_q, \\ \Phi'_q &= \bigwedge_{s=1}^{2^K} \bigvee_{j=1}^r \beta'_{sjq} \quad (1 \leq q \leq N), \\ \beta'_{sjq} &= \bigwedge_{k=1}^K [\alpha_{kjq}; \text{digit}(s, 2, k) = 1] \quad (1 \leq s \leq 2^K, 1 \leq j \leq r, 1 \leq q \leq N),\end{aligned}$$

where α_{kjq} is the same as that in the proof of Theorem 3. Then the length of Φ'_M is

$$|\Phi'_M| \leq l \cdot m \cdot K \cdot r \cdot 2^K \cdot N \leq n^3 \log^2 n,$$

since $2^K \leq r$. We now show that an assignment σ satisfies Φ'_M if and only if $|S_\sigma| = K$ and S_σ is Ψ_G -shattered by \mathcal{F}_M .

For every integer $s \in \{1, \dots, 2^K\}$, let g_s be the function from S_σ to $\{0, 1\}$ such that $g_s(x_{\langle \sigma, k \rangle}) = \text{digit}(s, 2, k)$ for each $k \in \{1, \dots, K\}$. Then for any $s \in \{1, \dots, 2^K\}$, any $j \in \{1, \dots, r\}$, and any $q \in \{1, \dots, N\}$,

$$\begin{aligned}\sigma(\beta'_{sjq}) = 1 &\Leftrightarrow \sigma([\alpha_{kjq}; \text{digit}(s, 2, k) = 1]) = 1 \quad \text{for each } k \in \{1, \dots, K\} \\ &\Leftrightarrow \begin{cases} f_j(x_{\langle \sigma, k \rangle}) = y_q & \text{if } \text{digit}(s, 2, k) = 1 \\ f_j(x_{\langle \sigma, k \rangle}) \neq y_q & \text{if } \text{digit}(s, 2, k) = 0 \end{cases} \quad \text{for each } k \in \{1, \dots, K\} \\ &\Leftrightarrow \psi_{G, y_q}(f_j(x_{\langle \sigma, k \rangle})) = \text{digit}(s, 2, k) \quad \text{for each } k \in \{1, \dots, K\}, \text{ and} \\ &\quad \text{digit}(s, 2, k_1) \neq \text{digit}(s, 2, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\quad \text{for any } k_1, k_2 \in \{1, \dots, K\}. \\ &\Leftrightarrow \psi_{G, y_q}(f_j(x_{\langle \sigma, k \rangle})) = g_s(x_{\langle \sigma, k \rangle}) \quad \text{for all } k \in \{1, \dots, K\}, \text{ and} \\ &\quad \text{digit}(s, 2, k_1) \neq \text{digit}(s, 2, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\quad \text{for any } k_1, k_2 \in \{1, \dots, K\}. \\ &\Leftrightarrow \psi_{G, y_q}(f_j(x)) = g_s(x) \quad \text{for all } x \in S_\sigma, \text{ and} \\ &\quad \text{digit}(s, 2, k_1) \neq \text{digit}(s, 2, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle \\ &\quad \text{for any } k_1, k_2 \in \{1, \dots, K\}.\end{aligned}$$

For each $q \in \{1, \dots, N\}$,

$$\begin{aligned}\sigma(\Phi'_q) = 1 &\Leftrightarrow \text{digit}(s, 2, k_1) \neq \text{digit}(s, 2, k_2) \text{ implies } \langle \sigma, k_1 \rangle \neq \langle \sigma, k_2 \rangle, \text{ and} \\ &\quad \text{for every function } g \text{ from } S_\sigma \text{ to } \{0, 1\}, \text{ there exists } f_j \in \mathcal{F}_M \\ &\quad \text{such that } \psi_{G, y_q}(f_j(x)) = g(x) \quad \text{for all } x \in S_\sigma \\ &\Leftrightarrow |S_\sigma| = K \text{ and } S_\sigma \text{ is shattered by } \mathcal{F}_M \text{ under the mapping } \psi_{G, y_q}.\end{aligned}$$

Thus Ψ'_M is satisfiable if and only if $\Psi_G\text{-dim}(\mathcal{F}) \geq K$. \square

According to the hardness result, the following corollary is immediately derived from the Theorem 2.

Corollary 1. *Every $L \in \text{SAT}_{\log^2 n}^{\text{CNF}}$ is polynomial-time reducible to the Ψ_G -dimension problem.*

6. Conclusion

We showed that the VC-dimension problem, VC*-dimension problem and Ψ_G -dimension problem are all in $\text{SAT}_{\log^2 n}$ and $\text{SAT}_{\log^2 n}^{\text{CNF}}$ -hard, while the Ψ_* -dimension problem is NP-complete. It remains open that these problems are in $\text{SAT}_{\log^2 n}^{\text{CNF}}$, or $\text{SAT}_{\log^2 n}$ -hard.

As a dual to the VC-dimension, Romanik [11] defined the *testing dimension* of a concept class \mathcal{F} as the *minimum* cardinality of a set $S \subseteq U$ which is *not* shattered by \mathcal{F} . We can see that the testing dimension problem is also in $\text{SAT}_{\log^2 n}$, by a similar reduction in the proof of Theorem 1. It is also open whether the problem is $\text{SAT}_{\log^2 n}^{\text{CNF}}$ -hard or not.

As another crucial characterization of the complexity of computing VC-dimension, recently Papadimitriou and Yannakakis [10] defined a new complexity class LOGNP, for which the (original) VC-dimension problem becomes complete. Their results imply the polynomial-time reducibility from the satisfiability problem with $O(\log^2 n)$ variables in conjunctive normal form to the VC-dimension problem, which we gave explicitly in the proof of Theorem 2.

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